

**STATEMENT OF ECONOMIC INTERESTS
COVER PAGE
A PUBLIC DOCUMENT**

RECEIVED
Date Initial Filing Received
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MAR 28 2024

**TULARE COUNTY EMPLOYEES'
RETIREMENT ASSOCIATION**

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Ricci David

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Tulare County

Division, Board, Department, District, if applicable

Your Position

Tulare County Employees Retirement Association

Consultant

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

☐ State

☐ Judge, Retired Judge, Pro Tem Judge, or Court Commissioner
(Statewide Jurisdiction)

☐ Multi-County _____

☒ County of Tulare

☐ City of _____

☐ Other _____

3. Type of Statement (Check at least one box)

☒ **Annual:** The period covered is January 1, 2023, through
December 31, 2023.

☐ **Leaving Office:** Date Left _____
(Check one circle.)

-or-

The period covered is ____/____/____, through
December 31, 2023.

☐ The period covered is January 1, 2023, through the date
of leaving office.

-or-

☐ **Assuming Office:** Date assumed ____/____/____

☐ The period covered is ____/____/____, through
the date of leaving office.

☐ **Candidate:** Date of Election _____ and office sought, if different than Part 1: _____

4. Schedule Summary (required)

► Total number of pages including this cover page: _____

Schedules attached

☐ **Schedule A-1 - Investments** – schedule attached

☒ **Schedule C - Income, Loans, & Business Positions** – schedule attached

☐ **Schedule A-2 - Investments** – schedule attached

☐ **Schedule D - Income – Gifts** – schedule attached

☐ **Schedule B - Real Property** – schedule attached

☐ **Schedule E - Income – Gifts – Travel Payments** – schedule attached

-or- ☐ **None** - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET
(Business or Agency Address Recommended - Public Document)

CITY

STATE

ZIP CODE

150 N. Riverside Plaza

Chicago

IL

60606

DAYTIME TELEPHONE NUMBER

EMAIL ADDRESS

(312) 364-8030

dricci@williamblair.com

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed _____
(month, day, year)

Signature **David Ricci**

Digitally signed by David Ricci
Date: 2024.03.27 12:30:09 -05'00'
(File the originally signed paper statement with your filing official.)

SCHEDULE C
Income, Loans, & Business
Positions
(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name _____

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME
William Blair Investment Management, LLC

ADDRESS (Business Address Acceptable)
150 N. Riverside Plaza, Chicago, IL 60606

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Investment Management

YOUR BUSINESS POSITION
Portfolio Manager

GROSS INCOME RECEIVED ☐ No Income - Business Position Only
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☒ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
☒ Salary ☐ Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.)

☐ Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)

☐ Sale of _____
(Real property, car, boat, etc.)

☐ Loan repayment

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

(Describe)

☐ Other _____
(Describe)

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED ☐ No Income - Business Position Only
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
☐ Salary ☐ Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.)

☐ Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)

☐ Sale of _____
(Real property, car, boat, etc.)

☐ Loan repayment

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

(Describe)

☐ Other _____
(Describe)

► **2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

* You are not required to report loans from a commercial lending institution, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
☐ \$500 - \$1,000
☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000
☐ OVER \$100,000

INTEREST RATE _____% ☐ None

TERM (Months/Years) _____

SECURITY FOR LOAN
☐ None ☐ Personal residence

☐ Real Property _____
Street address _____
City _____

☐ Guarantor _____

☐ Other _____
(Describe)

Comments: _____

March 27, 2023

Susie Brown
TCERA
136 N Akers St
Visalia, CA 93291

Dear Ms. Brown:

David Ricci, a portfolio manager to Tulare County, filed his Form 700. Please be advised with regard to the filing that:

- Previously county officials have confirmed that only securities that could be potential investments in the account(s) managed by Mr. Ricci should be reported.
- Based on that understanding, it has been determined that there were no securities for Mr. Ricci to disclose.
- Legal & Compliance reviewed and presented that information to Mr. Ricci for purposes of filing these reports.

Should you have any questions regarding the form, please feel free to contact me at (332) 262-2596.

Sincerely,



Dan Carey
Deputy General Counsel

**STATEMENT OF ECONOMIC INTERESTS
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Date Initial Filing Received
MAR 28 2024

TULARE COUNTY EMPLOYEES'
RETIREMENT ASSOCIATION

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Golan Jim

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Tulare County

Division, Board, Department, District, if applicable

Your Position

Tulare County Employees Retirement Association

Consultant

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

☐ State

☐ Judge, Retired Judge, Pro Tem Judge, or Court Commissioner
(Statewide Jurisdiction)

☐ Multi-County _____

☒ County of Tulare

☐ City of _____

☐ Other _____

3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2023, through
December 31, 2023.

☐ Leaving Office: Date Left _____
(Check one circle.)

-or-

The period covered is _____, through
December 31, 2023.

☐ The period covered is January 1, 2023, through the date
of leaving office.

-or-

☐ Assuming Office: Date assumed _____

☐ The period covered is _____, through
the date of leaving office.

☐ Candidate: Date of Election _____ and office sought, if different than Part 1: _____

4. Schedule Summary (required)

► Total number of pages including this cover page: _____

Schedules attached

☒ Schedule A-1 - Investments - schedule attached

☒ Schedule C - Income, Loans, & Business Positions - schedule attached

☐ Schedule A-2 - Investments - schedule attached

☐ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or- ☐ None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)

150 N. Riverside Plaza

Chicago

IL

60606

DAYTIME TELEPHONE NUMBER

EMAIL ADDRESS

(312) 364-5359

jgolan@williamblair.com

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed March 27, 2024
(month, day, year)

Signature Jim Golan
(File the originally signed paper statement with your filing official.)

SCHEDULE C
Income, Loans, & Business
Positions
(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name _____

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

William Blair Investment Management, LLC

ADDRESS (Business Address Acceptable)

150 N. Riverside Plaza, Chicago, IL 60606

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Investment Management

YOUR BUSINESS POSITION

Portfolio Manager

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000
☐ \$10,001 - \$100,000

☐ No Income - Business Position Only

- ☐ \$1,001 - \$10,000
☒ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☒ Salary ☐ Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.)

☐ Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)

☐ Sale of _____
(Real property, car, boat, etc.)

☐ Loan repayment

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

(Describe)

☐ Other _____
(Describe)

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

Dividend Income from Schedule A-1 Declared Stock

ADDRESS (Business Address Acceptable)

150 N. Riverside Plaza, Chicago, IL

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Dividend Income from Schedule A-1 Declared Stock

YOUR BUSINESS POSITION

Portfolio Manager

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000
☒ \$10,001 - \$100,000

☐ No Income - Business Position Only

- ☐ \$1,001 - \$10,000
☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☐ Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.)

☐ Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)

☐ Sale of _____
(Real property, car, boat, etc.)

☐ Loan repayment

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

(Describe)

☐ Other _____
(Describe)

► **2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

* You are not required to report loans from a commercial lending institution, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐ \$500 - \$1,000
☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000
☐ OVER \$100,000

INTEREST RATE

_____% ☐ None

TERM (Months/Years)

SECURITY FOR LOAN

☐ None ☐ Personal residence

☐ Real Property _____
Street address

City

☐ Guarantor _____

☐ Other _____
(Describe)

Comments: _____

LIVANOVA PLC ORD GBP1.00 (DI)	Health Care	\$2,000 - \$10,000	Stock
CHEESECAKE FACTORY INC	Consumer Discretionary	\$10,001 - \$100,000	Stock
BREAD FINANCIAL HOLDINGS INC COM	Financials	\$2,000 - \$10,000	Stock
HANESBRANDS INC COM USD0.01	Consumer Discretionary	\$2,000 - \$10,000	Stock
PALOMAR HLDGS INC COM	Financials	\$10,001 - \$100,000	Stock
DIGI INTERNATIONAL INC	Information Technology	\$100,001 - \$1,000,000	Stock
UNITIL CORP	Utilities	\$10,001 - \$100,000	Stock
EXPEDITORS INTERNATIONAL OF WASHINGTON INC	Industrials	\$10,001 - \$100,000	Stock

William Blair

March 27, 2023

Susie Brown
TCERA
136 N Akers St
Visalia, CA 93291

Dear Ms. Brown:

Jim Golan, a portfolio manager to Tulare County, filed his Form 700. Please be advised with regard to the filing that:

- Previously county officials have confirmed that only securities that could be potential investments in the account(s) managed by Mr. Golan should be reported.
- The Legal & Compliance department of William Blair has reviewed the information it has obtained from this third party to determine the securities that could have been potential investment targets for the county's account.
- Legal & Compliance reviewed and presented this information to Mr. Golan for purposes of filing these reports.

Should you have any questions regarding the form, please feel free to contact me at (332) 262-2596.

Sincerely,



Dan Carey
Deputy General Counsel

**STATEMENT OF ECONOMIC INTERESTS
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A PUBLIC DOCUMENT**

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Filing Official Use Only

MAR 28 2024

Please type or print in ink.

TULARE COUNTY EMPLOYEES'

NAME OF FILER (LAST) (FIRST) (MIDDLE) RETIREMENT ASSOCIATION
Zimmenrman Nick

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Tulare County

Division, Board, Department, District, if applicable

Your Position

Tulare County Employees Retirement Association

Consultant

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

☐ State

☐ Judge, Retired Judge, Pro Tem Judge, or Court Commissioner
(Statewide Jurisdiction)

☐ Multi-County _____

☒ County of Tulare

☐ City of _____

☐ Other _____

3. Type of Statement (Check at least one box)

☒ **Annual:** The period covered is January 1, 2023, through
December 31, 2023.

☐ **Leaving Office:** Date Left ____/____/_____
(Check one circle.)

-or-

The period covered is ____/____/_____, through
December 31, 2023.

☐ The period covered is January 1, 2023, through the date
of leaving office.

-or-

☐ **Assuming Office:** Date assumed ____/____/_____

☐ The period covered is ____/____/_____, through
the date of leaving office.

☐ **Candidate:** Date of Election _____ and office sought, if different than Part 1: _____

4. Schedule Summary (required)

► Total number of pages including this cover page: _____

Schedules attached

☒ **Schedule A-1 - Investments** – schedule attached

☒ **Schedule C - Income, Loans, & Business Positions** – schedule attached

☐ **Schedule A-2 - Investments** – schedule attached

☐ **Schedule D - Income – Gifts** – schedule attached

☐ **Schedule B - Real Property** – schedule attached

☐ **Schedule E - Income – Gifts – Travel Payments** – schedule attached

-or- ☐ **None - No reportable interests on any schedule**

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)

150 N. Riverside Plaza

Chicago

IL

60606

DAYTIME TELEPHONE NUMBER

EMAIL ADDRESS

(312) 364-8291

nzimmerman@williamblair.com

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed _____
(month, day, year)

Signature Nicholas Zimmerman
Digitally signed by Nicholas Zimmerman
Date: 2024.03.27 11:37:05 -05'00'
(File the originally signed paper statement with your filing official.)

SCHEDULE C
Income, Loans, & Business
Positions
(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name _____

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME
William Blair Investment Management, LLC

ADDRESS (Business Address Acceptable)
150 N. Riverside Plaza, Chicago, IL 60606

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Investment Management

YOUR BUSINESS POSITION
Portfolio Manager

GROSS INCOME RECEIVED ☐ No Income - Business Position Only
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☒ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
☒ Salary ☐ Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.)

☐ Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)

☐ Sale of _____
(Real property, car, boat, etc.)

☐ Loan repayment

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

(Describe)

☐ Other _____
(Describe)

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME
Dividend Income from Schedule A-1 Declared Stock

ADDRESS (Business Address Acceptable)
150 N. Riverside Plaza, Chicago, IL

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Dividend Income from Schedule A-1 Declared Stock

YOUR BUSINESS POSITION
Portfolio Manager

GROSS INCOME RECEIVED ☐ No Income - Business Position Only
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
☐ Salary ☐ Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.)

☐ Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)

☐ Sale of _____
(Real property, car, boat, etc.)

☐ Loan repayment

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

(Describe)

☐ Other _____
(Describe)

► **2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

* You are not required to report loans from a commercial lending institution, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
☐ \$500 - \$1,000
☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000
☐ OVER \$100,000

INTEREST RATE _____% ☐ None

TERM (Months/Years) _____

SECURITY FOR LOAN
☐ None ☐ Personal residence

☐ Real Property _____
Street address _____
City _____

☐ Guarantor _____

☐ Other _____
(Describe)

Comments: _____

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/yyyy)	
				ACQUIRED	DISPOSED
SOUTHWEST AIRLINES CO	Industrials	\$2,000 - \$10,000	Stock		
KAZATOMPROM JSC NAC GDR (EACH REPR 1 ORD) (REG S)	Energy	\$100,001 - \$1,000,000	Stock		
JETBLUE AWYS CORP COM	Industrials	\$2,000 - \$10,000	Stock		

March 27, 2023

Susie Brown
TCERA
136 N Akers St
Visalia, CA 93291

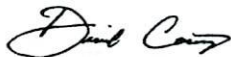
Dear Ms. Brown:

Nick Zimmerman, a portfolio manager to Tulare County, filed his Form 700. Please be advised with regard to the filing that:

- Previously county officials have confirmed that only securities that could be potential investments in the account(s) managed by Mr. Zimmerman should be reported.
- The Legal & Compliance department of William Blair has reviewed the information it has obtained from this third party to determine the securities that could have been potential investment targets for the county's account.
- Legal & Compliance reviewed and presented this information to Mr. Zimmerman for purposes of filing these reports.

Should you have any questions regarding the form, please feel free to contact me at (332) 262-2596.

Sincerely,



Dan Carey
Deputy General Counsel

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE
A PUBLIC DOCUMENTTULARE COUNTY EMPLOYEES'
RETIREMENT ASSOCIATION

Please type or print in ink.

NAME OF FILER (LAST)	(FIRST)	(MIDDLE)
Crowe	Daniel	

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Tulare County

Division, Board, Department, District, if applicable

Your Position

Tulare County Employees Retirement Association

Consultant

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)☐ State☐ Judge, Retired Judge, Pro Tem Judge, or Court Commissioner
(Statewide Jurisdiction)☐ Multi-County _____☒ County of Tulare☐ City of _____☐ Other _____**3. Type of Statement (Check at least one box)**☒ **Annual:** The period covered is January 1, 2023, through
December 31, 2023.☐ **Leaving Office:** Date Left ____/____/_____
(Check one circle.)

-or-

The period covered is ____/____/_____, through
December 31, 2023.☐ The period covered is January 1, 2023, through the date
of leaving office.

-or-

☐ **Assuming Office:** Date assumed ____/____/_____
and office sought, if different than Part 1: _____☐ The period covered is ____/____/_____, through
the date of leaving office.☐ **Candidate:** Date of Election _____ and office sought, if different than Part 1: _____**4. Schedule Summary (required)**

► Total number of pages including this cover page: _____

Schedules attached☒ **Schedule A-1 - Investments** – schedule attached☒ **Schedule C - Income, Loans, & Business Positions** – schedule attached☐ **Schedule A-2 - Investments** – schedule attached☐ **Schedule D - Income – Gifts** – schedule attached☐ **Schedule B - Real Property** – schedule attached☐ **Schedule E - Income – Gifts – Travel Payments** – schedule attached-or- ☐ **None** - No reportable interests on any schedule**5. Verification**MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)

150 N. Riverside Plaza

Chicago

IL

60606

DAYTIME TELEPHONE NUMBER

EMAIL ADDRESS

(312) 364-8172

dcrowe@williamblair.com

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 04/01/2024

(month, day, year)

Signature Daniel E Crowe

Digitally signed by Daniel E Crowe
Date: 2024.04.01 07:52:26 -05'00'

(File the originally signed paper statement with your filing official.)

SCHEDULE C
Income, Loans, & Business
Positions
(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name _____

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME
William Blair Investment Management, LLC

ADDRESS (Business Address Acceptable)
150 N. Riverside Plaza, Chicago, IL 60606

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Investment Management

YOUR BUSINESS POSITION
Portfolio Manager

GROSS INCOME RECEIVED ☐ No Income - Business Position Only
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☒ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
☒ Salary ☐ Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.)

☐ Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)

☐ Sale of _____
(Real property, car, boat, etc.)

☐ Loan repayment

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

(Describe)

☐ Other _____
(Describe)

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME
Dividend Income from Schedule A-1 Declared Stock

ADDRESS (Business Address Acceptable)
150 N. Riverside Plaza, Chicago, IL

BUSINESS ACTIVITY, IF ANY, OF SOURCE
Dividend Income from Schedule A-1 Declared Stock

YOUR BUSINESS POSITION
Portfolio Manager

GROSS INCOME RECEIVED ☐ No Income - Business Position Only
☐ \$500 - \$1,000 ☒ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
☐ Salary ☐ Spouse's or registered domestic partner's income
(For self-employed use Schedule A-2.)

☐ Partnership (Less than 10% ownership. For 10% or greater use
Schedule A-2.)

☐ Sale of _____
(Real property, car, boat, etc.)

☐ Loan repayment

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

(Describe)

☐ Other _____
(Describe)

► **2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

* You are not required to report loans from a commercial lending institution, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
☐ \$500 - \$1,000
☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000
☐ OVER \$100,000

INTEREST RATE _____% ☐ None

TERM (Months/Years) _____

SECURITY FOR LOAN
☐ None ☐ Personal residence

☐ Real Property _____
Street address _____
City _____

☐ Guarantor _____

☐ Other _____
(Describe)

Comments: _____

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from	IF APPLICABLE, LIST DATE (mm/dd/yyyy)
AENA SME SA UNSPONSORED ADR	Transportation	\$2,000 - \$10,000	Stock	
AFLAC INC COM USD0.10	Insurance	\$2,000 - \$10,000	Stock	
AMERICAN INTERNATIONAL GROUP INC	Insurance	\$2,000 - \$10,000	Stock	
AMERIPRISE FINANCIAL INC COM USD0.01	Capital Markets	\$2,000 - \$10,000	Stock	
AMERISOURCEBERGEN CORPORATION COM USD0.01	Health Care Providers & Services	\$2,000 - \$10,000	Stock	
AUTOZONE INC COM USD0.01	Specialty Retail	\$10,001 - \$100,000	Stock	
BANK OF NEW YORK MELLON CORP COM USD0.01	Capital Markets	\$2,000 - \$10,000	Stock	
BLOCK INC CL A	Financial Services	\$2,000 - \$10,000	Stock	
CBRE GROUP INC COM USD0.01 CLASS A	Real Estate Management & Development	\$2,000 - \$10,000	Stock	
CITIZENS FINL GROUP INC COM	Banks	\$2,000 - \$10,000	Stock	
CLOROX CO COM USD1.00	Household Products	\$2,000 - \$10,000	Stock	
COPART INC COM USD0.0001	Commercial Services & Supplies	\$2,000 - \$10,000	Stock	
CORNING INC	Electronic Equipment Instruments & Comp	\$2,000 - \$10,000	Stock	
COSTAR GROUP INC	Real Estate Management & Development	\$2,000 - \$10,000	Stock	
CROWN CASTLE INC COM	Specialized REITs	\$2,000 - \$10,000	Stock	
DEXCOM INC	Health Care Equipment & Supplies	\$2,000 - \$10,000	Stock	
DIAMONDBACK ENERGY INC COM USD0.01	Oil Gas & Consumable Fuels	\$2,000 - \$10,000	Stock	
EXACT SCIENCES CORP	Biotechnology	\$2,000 - \$10,000	Stock	
FORTUNE BRANDS INNOVATIONS INC CO	Building Products	\$2,000 - \$10,000	Stock	
HESS CORPORATION COM USD1.00	Oil Gas & Consumable Fuels	\$2,000 - \$10,000	Stock	
HUBSPOT INC	Software	\$2,000 - \$10,000	Stock	
IDEXX LABORATORIES INC COM USD0.10	Health Care Equipment & Supplies	\$2,000 - \$10,000	Stock	
KENVUE INC COM	Personal Care Products	\$2,000 - \$10,000	Stock	
KINDER MORGAN INC COM USD0.01	Oil Gas & Consumable Fuels	\$2,000 - \$10,000	Stock	
MONGODB INC CL A	IT Services	\$2,000 - \$10,000	Stock	
MSCI INC	Capital Markets	\$2,000 - \$10,000	Stock	
PALANTIR TECHNOLOGIES INC CL A	Software	\$2,000 - \$10,000	Stock	
PAYCHEX INC COM USD0.01	Professional Services	\$2,000 - \$10,000	Stock	
PRUDENTIAL FINANCIAL INC	Insurance	\$2,000 - \$10,000	Stock	
PUBLIC SVC ENTERPRISE GRP INC COM	Multi-Utilities	\$2,000 - \$10,000	Stock	
QUANTA SERVICES COM USD0.00001	Construction & Engineering	\$2,000 - \$10,000	Stock	
ROLLS ROYCE HOLDINGSSPON ADR EACH REP 1 ORD SHS	Aerospace & Defense	\$2,000 - \$10,000	Stock	
ROYAL CARIBBEAN GROUP COM USD0.01	Hotels Restaurants & Leisure	\$2,000 - \$10,000	Stock	
TRAVELERS COMPANIES INC COM STK NPV	Insurance	\$2,000 - \$10,000	Stock	
UNITED AIRL HLDGS INC COM	Passenger Airlines	\$2,000 - \$10,000	Stock	
XCEL ENERGY INC COM USD2.5	Electric Utilities	\$2,000 - \$10,000	Stock	

April 1, 2024

Melanie Tyler
TCERA
136 N Akers St
Visalia, CA 93291

Dear Ms. Brown:

Daniel Crowe, a portfolio manager to Tulare County, filed his Form 700. Please be advised with regard to the filing that:

- Previously county officials have confirmed that only securities that could be potential investments in the account(s) managed by Mr. Crowe should be reported.
- Based on that understanding, it has been determined that there were no securities for Mr. Crowe to disclose.
- Legal & Compliance reviewed and presented that information to Mr. Crowe for purposes of filing these reports.

Should you have any questions regarding the form, please feel free to contact me at (332) 262-2596.

Sincerely,



Dan Carey
Deputy General Counsel